



Meeting	AUDIT AND GOVERNANCE COMMITTEE
Time/Day/Date	6.30 pm on Wednesday, 20 March 2019
Location	Council Chamber, Council Offices, Coalville
Officer to contact	Democratic Services (01530 454512)

AGENDA

Item	Pages
1. APOLOGIES FOR ABSENCE	
2. DECLARATION OF INTERESTS	
Under the Code of Conduct members are reminded that in declaring disclosable interests you should make clear the nature of that interest and whether it is pecuniary or non-pecuniary.	
3. MINUTES	
To confirm and sign the minutes of the meeting held on 5 December 2018	3 - 6
4. ANNUAL AUDIT LETTER	
Report of the Head of Finance	7 - 18
5. EXTERNAL AUDIT RECOMMENDATIONS PROGRESS REPORT	
Report of the Head of Finance	19 - 30
6. EXTERNAL AUDIT PLAN	
Report of the Head of Finance	31 - 54
7. INTERNAL AUDIT PROGRESS REPORT FEBRUARY 2019	
Report of the Audit Manager	55 - 76
8. INTERNAL AUDIT ANNUAL PLAN 2019/20	
Report of the Audit Manager	77 - 84
9. GRANTS AND CLAIMS ANNUAL REPORT	
Report of Head of Finance	85 - 92

10.	PROGRESS UPDATE IN RESPECT OF IMPROVEMENTS IDENTIFIED THROUGH THE ANNUAL REVIEW OF GOVERNANCE 2017/18	
	Report of the Head of Finance	93 - 100
11.	2018/19 MATERIALITY LEVEL AND ACCOUNTING POLICIES	
	Report of the Head of Finance	101 - 124
12.	STANDARDS AND ETHICS - QUARTER 3 REPORT	
	Report of the Head of Legal and Commercial Services	125 - 138
13.	TREASURY MANAGEMENT ACTIVITY REPORT APRIL 2018 TO FEBRUARY 2019	
	Report of the Head of Finance	139 - 148
14.	CORPORATE RISK UPDATE	
	Report of the Strategic Director of Housing and Customer Services	149 - 156
15.	DRAFT MEMBER CONDUCT ANNUAL REPORT	
	Report of the Head of Legal and Commercial Services	157 - 164

Circulation:

Councillor R Ashman
 Councillor J Clarke
 Councillor J Cotterill
 Councillor D Harrison (Deputy Chairman)
 Councillor G Houlton
 Councillor G Jones
 Councillor S McKendrick
 Councillor V Richichi (Chairman)
 Councillor S Sheahan
 Vacancy